

**STATE OF CONNECTICUT  
DEPARTMENT OF PUBLIC HEALTH  
BUREAU OF REGULATORY SERVICES**

In Re: Carol Pfeiffer, L.P.N.

Petition No. 950908-011-026

**CONSENT ORDER**

WHEREAS, Carol Pfeiffer (hereinafter "respondent") of Middletown, Connecticut has been issued license number 021117 to practice as a licensed practical nurse by the Department of Public Health (hereinafter "the Department") pursuant to Chapter 378 of the General Statutes of Connecticut, as amended; and,

WHEREAS, the Department alleges that:

1. Respondent suffers from depression which may impact her ability to practice nursing competently and/or safely.
2. Respondent suffers from alcoholism which may impact her ability to practice nursing competently and/or safely.
3. The above described facts constitute grounds for disciplinary action pursuant to the General Statutes of Connecticut, §20-99(b).

WHEREAS, respondent, in consideration of this Consent Order, has chosen not to contest the above allegations at a hearing in front of the Board of Examiners for Nursing (hereinafter "the Board").

Respondent does not admit to having violated any statute or regulation, including but not limited to §20-99(b) of the General Statutes of Connecticut. Respondent further agrees that this Consent Order shall have the same effect as if ordered after a full hearing pursuant to §§19a-10, and 20-99(b) of the General Statutes of Connecticut.

NOW THEREFORE, pursuant to §19a-17 and §20-99(b) of the General Statutes of Connecticut, respondent hereby stipulates and agrees to the following:

1. That respondent waives her right to a hearing on the merits of this matter.
2. That respondent shall comply with all federal and state statutes and regulations applicable to her profession.
3. That respondent's license number 021117 to practice as a licensed practical nurse in the State of Connecticut is hereby placed on probation for three (3) years, subject to the following terms and conditions:
  - A. At her own expense, she shall engage in therapy and counseling with a licensed psychologist (hereinafter "therapist") approved by the Board and the Department for the entire probationary period.
    - (1) She shall provide a copy of this Consent Order to her therapist.
    - (2) Her therapist shall furnish written confirmation to the Board and the Department of her engagement in that capacity and receipt of a copy of this Consent Order within fifteen (15) days of the effective date of this Consent Order.
    - (3) If respondent's therapist determines that therapy is no longer necessary, that a reduction in frequency of therapy is warranted, or that respondent should be transferred to another therapist, he/she shall advise the Board and the Department. Said termination of therapy, reduction in frequency of therapy, and/or respondent's transfer to another therapist shall not occur until approved by the Board after consultation with the Department. However, if therapy is terminated with approval of the Board, respondent's therapist shall continue to monitor her alcohol and drug free status by monitoring and reviewing the observed random urine screens for

drugs and alcohol as described in paragraph 3B below, and by providing the reports described in paragraph 3C below.

- (4) The therapist shall immediately notify the Board and the Department in writing if respondent discontinues therapy and/or terminates his/her services.

B. Respondent shall not obtain or use controlled substances, legend drugs or alcohol in any form unless prescribed or recommended for a legitimate therapeutic purpose by a licensed health care professional authorized to prescribe medications.

- (1) At her own expense, she shall submit to observed random urine screens for drugs and alcohol at a testing facility approved by the Board, after consultation with the Department, as ordered by her therapist and/or personal physician. Laboratory reports of random alcohol and drug screens shall be submitted directly to the Board and the Department by respondent's therapist or personal physician or by the testing laboratory. All such observed random drug and alcohol screens shall be legally defensible in that the specimen donor and chain of custody can be identified throughout the screening process. All laboratory reports shall indicate that the chain of custody procedure has been followed.
- (2) She shall be responsible for notifying the laboratory, her therapist, the Board, and the Department of any drug(s) she is taking.
- (3) There must be at least one (1) such observed random alcohol/drug screen and accompanying laboratory report every week for the first year of probation; at least one (1) such screen and report every two (2) weeks for the next eighteen (18) months of probation; and, at least one (1) such screen and report weekly for the last six (6) months of probation.
- (4) All screens shall be negative for the presence of drugs and alcohol.

- (5) All positive screen results shall be confirmed by gas chromatograph/mass spectrometer (GC/MS) testing.
  - (6) Respondent is hereby advised that the ingestion of poppy seeds and mouthwash has, from time to time, been raised as a defense to a positive screen result for morphine, opiates and/or alcohol. For that reason, respondent agrees to refrain from ingesting poppy seeds in any food substances or mouthwash during the term of this Consent Order. In the event respondent has a positive screen for morphine and/or opiates, respondent agrees that the ingestion of poppy seeds shall not constitute a defense to such a screen.
- C. Respondent shall be responsible for the provision of written reports from her therapist directly to the Board and the Department for the entire probationary period; monthly for the first year of probation, and quarterly for the remainder of probation. Such reports shall include: documentation of dates of treatment; an evaluation of respondent's ability to cope with her depression; documentation of her progress in treatment and of her drug and alcohol free status as established by the observed random urine screens for drugs and alcohol; an evaluation of her ability to safely and competently practice nursing; and, copies of all laboratory reports.
- D. Notwithstanding the foregoing, respondent's therapist shall immediately report to the Board and the Department any confirmed positive alcohol/drug screen and any conduct or condition on respondent's part which does or may violate any federal or state statute or regulation applicable to her profession.
- E. Respondent shall provide a copy of this Consent Order to all current and future employers for the duration of her probation.

F. Respondent shall not accept employment as a nurse for a personnel provider service, assisted living Services Agency, Homemaker - Home Health Aide Agency or home health care agency, and shall not be self-employed as a nurse for the period of her probation.

~~G. Respondent shall obtain written approval from the Department prior to any change in~~

GAB  
CB

~~employment.~~ Redacted 7/16/97 Verbal - No Objection Bafu

~~H. Respondent's practice shall be supervised at all times by a licensed nursing home~~

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7/16/97  
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~~administrator approved by the Department.~~ Redacted 7/16/97 Verbal - No Objection Bafu

I. With the exception of methadone, respondent shall not administer, count, or have access to narcotics or other controlled substances, or have responsibility for such activities in the course of her nursing duties for the duration of the Consent Order. At all times when respondent administers methadone, she shall do so only in the presence of a licensed nurse.

J. Respondent shall be responsible for the provision of written reports directly to the Board and the Department from her nursing supervisor (i.e., Director of Nursing) monthly for the first (6) six months of her probation; quarterly for the remainder of her probation. Employer reports shall include documentation of respondent's ability to safely and competently practice nursing, and shall be issued to the Board and the Department at the address cited in paragraph 4 below.

K. Respondent shall notify the Board and the Department in writing of any change of employment within fifteen (15) days of such change.

L. Respondent shall notify the Board and the Department of any change in her home or business address within fifteen (15) days of such change.

M. All reports required are due according to the following schedule:

- (1) Monthly reports are due on the tenth business day of each month.

- (2) Quarterly reports are due on the tenth business day of January, April, July and October.

4. All correspondence and reports shall be addressed to:

Jeffrey Kardys  
Department of Public Health  
410 Capitol Avenue, MS #12LEG  
P.O. Box 340308  
Hartford, CT 06134-0308

- ~~5. In the event respondent is not employed as a registered nurse in the State of Connecticut for a period of thirty (30) consecutive days or longer, or is employed as a registered nurse less than twenty (20) hours per week, or is employed outside the State of Connecticut, respondent shall notify the Department in writing. Such periods shall not be counted in reducing the probation period covered in this Consent Order.~~

GDB  
7/16/97  
EC 7-16-97

Redacted No Objections  
7/16/97 [Signature]

6. Any violation of the terms of this Consent Order without prior written approval by the Board shall constitute grounds for the Department to seek revocation of the respondent's nursing license following notice and an opportunity to be heard.
7. Any extension of time or grace period for reporting granted by the Board shall not be a waiver or preclude the Board's right to take action at a later time. The Board shall not be required to grant future extensions of time or grace periods.
8. Legal notice of any action shall be deemed sufficient if sent to respondent's last known address of record reported to the Licensure and Registration Section of the Health Systems Regulation of the Department.
9. This Consent Order is effective on the first day of the month immediately following the month in which this Consent Order is approved and accepted by the Board.
10. Respondent understands this Consent Order is a matter of public record.

11. The Department's allegations as contained in this Consent Order shall be deemed true in any subsequent proceeding before the Board in which (1) her compliance with this same order is at issue, or (2) her compliance with §20-99(b) of the General Statutes of Connecticut, as amended, is at issue.
12. In the event respondent violates a term of this Consent Order, respondent agrees immediately to refrain from practicing as a licensed practical nurse, upon request by the Department, with notice to the Board, for a period not to exceed 45 days. During that time period, respondent further agrees to cooperate with the Department in its investigation of the violation, and to submit to and complete a medical, psychiatric or psychological evaluation, if requested to do so by the Department; and, that the results of the evaluation shall be submitted directly to the Department. Respondent further agrees that failure to cooperate with the Department in its investigation during said 45 day period shall constitute grounds for the Department to seek a summary suspension of respondent's license. In any such summary action, respondent stipulates that her failure to cooperate with the Department's investigation shall be considered by the Board and shall be given due weight by the Board in determining whether her conduct constitutes a clear and immediate danger as required pursuant to Connecticut General Statutes, sections 4-182(c) and 19a-17(c). The Department and respondent understand that the Board has complete and final discretion as to whether a summary suspension is ordered.
13. In the event respondent violates any term of this Consent Order, said violation may also constitute grounds for the Department to seek a summary suspension of her license before the Board.
14. This Consent Order and terms set forth herein are not subject to reconsideration, collateral attack or judicial review under any form or in any forum. Further, this Consent Order is not subject to appeal or review under the provisions of Chapters 54 and 368a of the General

Statutes of Connecticut, provided that this stipulation shall not deprive respondent of any other rights that she may have under the laws of the State of Connecticut or of the United States.

15. Respondent permits a representative of the Department to present this Consent Order and the factual basis for this Consent Order to the Board. The Department and respondent understand that the Board has complete and final discretion as to whether an executed Consent Order is approved or accepted.
16. Respondent has had the opportunity to consult with an attorney prior to signing this document.

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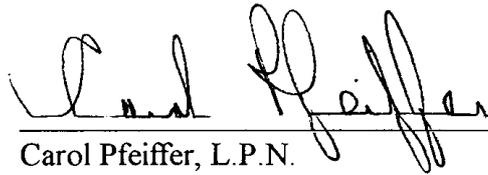
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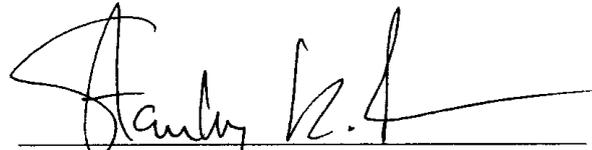
I, Carol Pfeiffer, have read the above Consent Order, and I agree to the terms set forth therein. I further declare the execution of this Consent Order to be my free act and deed.

  
Carol Pfeiffer, L.P.N.

Subscribed and sworn to before me this 11 day of July 1997.

  
Notary Public or person authorized  
by law to administer an oath or affirmation  
my commission expires 2/25/2000

The above Consent Order having been presented to the duly appointed agent of the Commissioner of the Department of Public Health on the 11<sup>th</sup> day of July 1997, it is hereby accepted.

  
Stanley K. Peck, Director  
Legal Office

The above Consent Order having been presented to the duly appointed agent of the Connecticut Board of Examiners for Nursing on the 16<sup>th</sup> day of July 1997, it is hereby ordered and accepted.

BY:   
Connecticut Board of Examiners for Nursing

**STATE OF CONNECTICUT  
DEPARTMENT OF PUBLIC HEALTH  
BUREAU OF REGULATORY SERVICES**

In re: Carol Pfeiffer, L.P.N.

Petition No. 950908-011-026

**INTERIM CONSENT ORDER**

WHEREAS, Carol Pfeiffer, of Middletown, Connecticut (hereinafter "respondent") has been issued license number 021117 to practice as a licensed practical nurse by the Department of Public Health (hereinafter "the Department") pursuant to Chapter 378 of the General Statutes of Connecticut, as amended; and,

WHEREAS, the Department has received information regarding respondent's alleged alcohol abuse, and conduct contrary to the standard of care.

WHEREAS, it will be necessary to obtain additional evidence before this case is presented to the Connecticut Board of Examiners for Nursing (hereinafter "the Board"), and the parties wish to provide for the protection of the public during the interim.

NOW THEREFORE, pursuant to §19a-17 and §20-99(a) of the General Statutes of Connecticut, the parties hereby stipulate to the following:

1. Respondent agrees to satisfy the following terms and conditions during a period of ninety days, commencing on the effective date of this Order:

- a. Respondent shall execute releases for all her treatment records, as requested by the Department.
- b. Within sixty (60) days of the effective date of this Interim Consent Order, respondent, at her own expense, shall be evaluated by a psychologist licensed in Connecticut (hereinafter "evaluator") approved by the Board and the Department. The initial examination date shall take place within thirty (30) days of the effective date of this Interim Consent Order. Respondent agrees to cooperate with the evaluator's recommendations to complete the examination, and agrees to attend all subsequent examination dates as deemed reasonable and necessary by the evaluator. The results of the mental examination, including the results of any psychological tests or other medical tests, shall be sent to the Department by the evaluator no later than thirty days from the completion of the evaluation.
- c. At her own expense, she shall engage in therapy and counseling with a licensed or certified therapist (hereinafter "therapist") approved by the Board and the Department for the entire probationary period.
  - (1) She shall provide a copy of this Interim Consent Order to her therapist.
  - (2) Her therapist shall furnish written confirmation to the Board and the Department of her/his engagement in that capacity and receipt of a copy of this Interim Consent Order within fifteen (15) days of the effective date of this Interim Consent Order.
  - (3) If respondent's therapist determines that therapy is no longer necessary, that a reduction in frequency of therapy is warranted, or that respondent should be

transferred to another therapist, she/he shall advise the Board and the Department. Said termination of therapy, reduction in frequency of therapy, and/or respondent's transfer to another therapist shall not occur until approved by the Board after consultation with the Department. However, if therapy is terminated with approval of the Board, respondent's therapist shall continue to monitor her alcohol and drug free status by monitoring and reviewing the observed random urine screens for drugs and alcohol as described in paragraph 1d below, and by providing the reports described in paragraph 1e below.

- (4) The therapist shall immediately notify the Board and the Department in writing if respondent discontinues and/or terminates his/her services.
- d. Respondent shall not obtain for personal use and/or use alcohol, controlled substances or legend drugs that have not been prescribed for her for a legitimate therapeutic purpose by a licensed health care professional authorized to prescribe medications.
- (1) At her own expense, she shall submit to observed random urine screens for drugs and alcohol at a testing facility approved by the Board, after consultation with the Department, as ordered by her therapist and/or personal physician. Laboratory reports of random alcohol and drug screens shall be submitted directly to the Board and the Department by respondent's therapist or personal physician or by the testing laboratory. All such observed random drug and alcohol screens shall be legally defensible in that the specimen donor and chain of custody can be identified throughout the screening process. All laboratory reports shall indicate that the chain of custody procedure has been followed.

- (2) She shall be responsible for notifying the laboratory, her therapist, the Board, and the Department of any drug(s) she is taking.
  - (3) Respondent shall submit to at least one (1) observed random alcohol/drug screen on a weekly basis. Respondent shall submit to such screens on a more frequent basis if requested to do so by the therapist.
  - (4) All screens shall be negative for the presence of drugs and alcohol.
  - (5) All positive screen results shall be confirmed by gas chromatograph/mass spectrometer (GC/MS) testing.
  - (6) Respondent is hereby advised that the ingestion of poppy seeds has, from time to time, been raised as a defense to a positive screen result for morphine and/or opiates. For that reason, respondent agrees to refrain from ingesting poppy seeds in any food substances during the term of this Interim Consent Order. In the event respondent has a positive screen for morphine and/or opiates, respondent agrees that the ingestion of poppy seeds shall not constitute a defense to such a screen.
- e. Respondent shall be responsible for the provision of monthly written reports from her therapist directly to the Board and the Department for the entire term of the Interim Consent Order. Such reports shall include documentation of dates of treatment, an evaluation of respondent's progress in treatment and of her drug and alcohol free status as established by the observed random urine screens for drugs and alcohol, an evaluation of her ability to safely and competently practice nursing, and copies of all laboratory reports.

- f. Notwithstanding the foregoing, respondent's therapist shall immediately report to the Board and the Department any confirmed positive alcohol/drug screen and any conduct or condition on respondent's part which does or may violate any federal or state statute or regulation applicable to her profession.
- g. Respondent shall provide a copy of this Interim Consent Order to all of her employers for the duration of this Interim Consent Order.
- h. Respondent shall not accept employment as a nurse for a personnel provider service, assisted living Services Agency, Homemaker - Home Health Aide Agency or home health care agency, and shall not be self-employed as a nurse for the period of this Interim Consent Order.
- i. With the exception of methadone, respondent shall not administer, count, or have access to narcotics or other controlled substances, or have responsibility for such activities in the course of her nursing duties for the duration of the Interim Consent Order. At all times when respondent administers methadone, she shall do so only in the presence of a licensed nurse.
- j. Respondent shall be responsible for the provision of written reports directly to the Board and the Department from her nursing supervisor for the entire term of the Interim Consent Order. Employer reports shall include documentation of respondent's ability to safely and competently practice nursing, and shall be issued to the Board and the Department monthly commencing with the report due in May 1997.
- k. Respondent shall notify the Board and the Department in writing of any change of employment within fifteen (15) days of such change.

- l. Respondent shall notify the Board and the Department of any change in her home or business address within fifteen (15) days of such change.
- m. All correspondence and reports shall be addressed to:

Jeffrey Kardys  
Department of Public Health  
Legal Office  
410 Capitol Avenue, MS #12LEG  
P.O. Box 340308  
Hartford, CT 06134-0308

2. That, in the event respondent violates a term of this Interim Consent Order, respondent agrees immediately to refrain from practicing as a licensed practical nurse, upon request by the Department, with notice to the Board, for a period not to exceed 45 days. During that time period, respondent further agrees to cooperate with the Department in its investigation of the violation, and to submit to and complete a medical, psychiatric or psychological evaluation, if requested to do so by the Department; and, that the results of the evaluation shall be submitted directly to the Department. Respondent further agrees that failure to cooperate with the Department in its investigation during said 45 day period shall constitute grounds for the Department to seek a summary suspension of respondent's license. In any such summary action, respondent stipulates that her failure to cooperate with the Department's investigation shall be considered by the Board and shall be given due weight by the Board in determining whether her conduct constitutes a clear and immediate danger as required pursuant to Connecticut General Statutes, sections 4-182(c) and 19a-17(c). The Department and respondent understand that the Board has complete and final discretion as to whether a summary suspension is ordered.

3. That, in the event respondent violates any term of this Interim Consent Order, said violation may also constitute grounds for the Department to seek a summary suspension of her license before the Board.
4. That this Interim Consent Order and terms set forth herein are not subject to reconsideration, collateral attack or judicial review under any form or in any forum. Further, this Interim Consent Order is not subject to appeal or review under the provisions of Chapters 54 and 368a of the General Statutes of Connecticut, provided that this stipulation shall not deprive respondent of any other rights that she may have under the laws of the State of Connecticut or of the United States.
5. That respondent permits a representative of the Department to present this Interim Consent Order and the factual basis for this Interim Consent Order to the Board. The Department and respondent understand that the Board has complete and final discretion as to whether an executed Interim Consent Order is approved or accepted.
6. That respondent has had the opportunity to consult with an attorney prior to signing this document.
- 7 This Interim Consent Order shall become effective on the day it is approved and entered by the Board.

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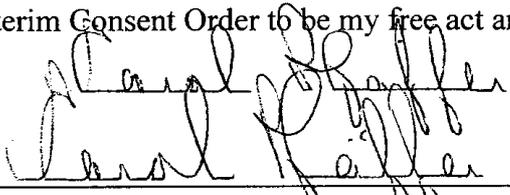
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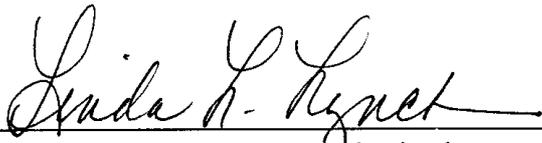
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I, Carol Pfeiffer, have read the above Interim Consent Order, and I agree to the terms set forth therein. I further declare the execution of this Interim Consent Order to be my free act and deed.

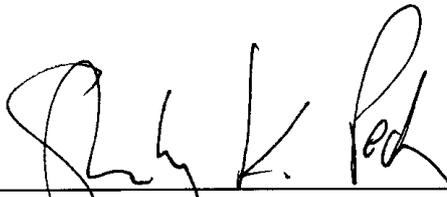
  
\_\_\_\_\_  
Carol Pfeiffer, L.P.N.

Subscribed and sworn to before me this 10<sup>th</sup> day of April, 1997.

  
\_\_\_\_\_  
Notary Public or person authorized  
by law to administer an oath or affirmation

**LINDA L. LYNCH**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES MAR. 31, 2000

The above Interim Consent Order having been presented to the duly appointed agent of the Commissioner of the Department of Public Health on the 10<sup>th</sup> day of April, 1997, it is hereby accepted.

  
\_\_\_\_\_  
Stanley K. Peck, Director  
Legal Office

The above Interim Consent Order having been presented to the Connecticut Board of Examiners for Nursing on the 16<sup>th</sup> day of April, 1997, it is hereby ordered and accepted.

BY:   
\_\_\_\_\_  
The Connecticut Board of Examiners for Nursing